



Client Matter No. 80458.0011
Express Mail No.: EV331755720US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/551,233

Application of:

Katsuyoshi Matsuura et al.

Filed: April 17, 2000

Art Unit: 2823

Examiner: Lee, Hsien Ming

Attorney Docket No. FUJ 99228 CIP

For: SEMICONDUCTOR DEVICE HAVING A
FERROELECTRIC CAPACITOR AND A FABRICATION
PROCESS THEREOF

Confirmation No.: 9686

Customer No.: **25235**

CERTIFICATE OF MAILING BY EXPRESS MAIL

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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Request for Continued Examination with check in the amount of \$770;
2. 2 Month Extension of Time with \$420 fee;
3. Amendment in response to Advisory Action dated August 13, 2004;
4. Fee Transmittal Coversheet;
5. Certificate of Mailing by Express Mail; and
6. Return Receipt Postcard

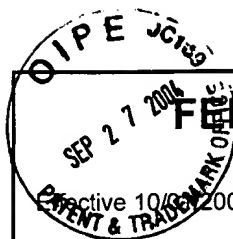
relating to the above application, were deposited as "Express Mail", Mailing Label
No. EV331755720US with the United States Postal Service, addressed to
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27 September 2004
Date

9/27/04
Date

Mailer

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FREE TRANSMITTAL for FY 2004

Effective 10/6/2003. Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**1,190.00**

Complete if Known

Application Number	09/551,233
Filing Date	April 17, 2000
First Named Inventor	Katsuyoshi Matsuura et al.
Examiner Name	Lee, Hsien Ming
Group / Art Unit	2823
Attorney Docket No.	FUJ 99228 CIP

METHOD OF PAYMENT (check all that apply)

☒ check ☐ credit card ☐ money order ☐ other ☐ none
☐ Deposit Account

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
770	385	Utility Filing Fee	
340	170	Design filing fee	
530	265	Plant filing fee	
770	385	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$)**.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**=	X	
Independent Claims	-3**=	X	
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
86	43	Independent claims in excess of 3
290	145	Multiple dependent claim, if not paid
86	43	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)**.00**

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
420	210	Extension for reply within second month	420.00
950	475	Extension for reply within third month	
1,480	740	Extension for reply within fourth month	
2,010	1,005	Extension for reply within fifth month	
330	165	Notice of Appeal	
330	165	Filing a brief in support of an appeal	
290	145	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,330	665	Petition to revive - unintentional	
1,330	665	Utility issue fee (or reissue)	
480	240	Design issue fee	
640	320	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
770	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
770	385	For each additional invention to be examined (37 CFR §1.129(b))	
770	385	Request for Continued Examination	770.00
900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)**1,190**

SUBMITTED BY Complete (if applicable)

Name (Print/Type) **Peter J. Meza**

Signature

Registration No. (Attorney/Agent)

32,920

Telephone

(719) 448-5906

Date

9/27/04